MEDICINE AND THE LAW

Provision of long-term renal replacement therapy to non-national patients in South Africa

M Davies, MB BCh, FCP (SA), Cert Nephrology (SA) Phys, MMed (Int Med); Z Cassimjee, MB BCh, FCP (SA), Cert Nephrology (SA) Phys, MMed (Int Med)

Division of Nephrology, Helen Joseph Hospital, and Faculty of Health Sciences, University of the Witwatersrand, Johannesburg, South Africa

Corresponding author: M Davies (malcolm.davies@wits.ac.za)

Dialysis is a life-saving but costly therapy, which in the local context may be considered to be a constrained resource. The residual effects of South Africa (SA)'s historical inequalities and the pathophysiology of chronic kidney disease in our population result in significant demand being placed upon state dialysis units, which consequently are forced to ration access to treatment. Although such rationing is undertaken with due regard to local and international protocols, state units have been subject to legal challenge. Consequently, jurisprudence relevant to the provision of dialysis and other similarly resource-constrained therapies has evolved. In this article, we discuss a recent case that led to a landmark ruling on the access of non-SA nationals to dialysis, contextualised against existing guidelines and legislation and the status of renal replacement therapy in this country.


A 44-year-old foreign national presented to the Division of Nephrology at Helen Joseph Hospital, Johannesburg, South Africa (SA) with undifferentiated uraemia requiring emergent initiation of acute haemodialysis. Subsequent investigation was consistent with lupus nephritis. Induction immunosuppression was prescribed, which was complicated by the development of nosocomial pneumonia requiring mechanical ventilation. Following recovery, a renal biopsy was performed, and the patient was discharged to temporary outpatient haemodialysis to await pathology results. Upon histological diagnosis of lupus nephritis World Health Organization (WHO) class VI, the patient and family were counselled regarding the need for long-term renal replacement therapy (LTRRT) and, in view of the patient's temporary immigration status and long-standing shortage of chronic dialysis slots at the hospital, were advised to pursue further treatment in the patient's country of origin; outpatient dialysis was extended to facilitate repatriation. Lawyers for Human Rights, acting on the patient's behalf, lodged an application in the High Court, seeking to compel the hospital to continue dialysis on a permanent basis. In court papers and a media campaign, it was alleged that in denying the patient access to LTRRT the hospital had violated the patient's constitutional right to health. Local policy and jurisprudence provide for the limitation of the right to LTRRT access in respect of medical fitness for transplantation. While immigration status is not explicitly recognised as a dialysis exclusion criterion, state units have in consideration of international guidelines not provided LTRRT to temporary residents. The ruling by the High Court in the present case in favour of the hospital affirms the rationality of this approach given local resources, and confirms the authority of medical professionals in oversight of access to dialysis. This article contextualises this judgment against local and international policy and the broader reality of LTRRT availability in SA.

End-stage renal failure and access to long-term renal replacement therapy in SA

A complex interplay of genetic and socioeconomic factors contributes to the development of end-stage renal failure (ESRF) in SA. The local penetrance of apolipoprotein L1 (APOL1) allelic variants, which have been associated with an increased risk of focal segmental glomerulosclerosis, HIV-associated nephropathy and hypertension-associated ESRF,[5] has been reported to be between 10% and 30%.[5] Reduced maternal socioeconomic status is known to be an important contributor to the lifetime risk of ESRF in offspring, an association believed to derive from reduced nephron endowment due to insufficient in utero nephrogenesis.[6] This effect of the prenatal environment may be potentiated by social changes, such as urbanisation, in which increased access to a salt- and calorie-enriched diet facilitates the development of metabolic disease associated with chronic kidney disease (CKD) progression to ESRF.[7] Such ESRF risk factors particularly affect black South Africans, and lead to the significant demand for LTRRT in this ethnic group.[8]

Dialysis is a life-saving therapy for patients afflicted with ESRF. Currently, there are 29 state and 249 private dialysis units in SA;[9] the private sector has the capacity to provide treatment for 855 patients per million population (pmp) compared with the state sector's 66 pmp.[10] Whereas dialysis in public hospitals is fully subsidised by the state, in the private sector the individual patient must fund this treatment; due to the prohibitive costs involved in financing such treatment, dialysis in the private sector is usually achieved through recourse to healthcare insurance plans. Membership of such plans mirrors the racial socioeconomic inequalities of the country – 9.9% of the black community have medical aid compared with 72.2% of whites and 16.4% of the population as a whole.[11] This situation results in significant disparity in access to LTRRT for the population most at risk of ESRF. Although black South Africans account for 80.8% of the total population, the prevalence of LTRRT in this group is 126 pmp compared with 442 pmp for whites, who comprise 8% of the population.[11]

This disparity is unlikely to improve. In the two decades since 1994, the number of private dialysis units increased by 3 820% in response to the demands of an expanding population; in contrast, the number of state dialysis units decreased relative to population growth.[12] At the same time, the absolute number of renal transplants
undertaken in the state sector has declined.\textsuperscript{[18]} Meanwhile, the number of qualified nephrologists to oversee LTRRT remains inadequate at 2.5\,pmp, below the continental average of 3.6\,pmp, with only 50.6\% of nephrologists practising in the state sector.\textsuperscript{[19]} The numbers of appropriately skilled nurses and technologists, upon whom actual administration of LTRRT depends, have also been reported to be decreasing.\textsuperscript{[19]}

Cost remains an important limitation to the expansion of the state LTRRT programme. Recent analysis estimated the cost per patient per year for haemodialysis to be USD3\,993.21, and USD2\,282.39 for peritoneal dialysis (ZAR4\,461\,533.63 and ZAR3\,552\,569.50, respectively, at a ZAR:USD exchange rate of 13.95:1 current at the time of writing).\textsuperscript{[20]} Fixed costs (facility and dialysis machines) contribute significantly to the cost of haemodialysis, while dialysate fluid and other consumables are the chief cost drivers for peritoneal dialysis.\textsuperscript{[21]}

Although public-private partnerships (PPPs) have been advocated as a means to reduce these costs and rapidly expand dialysis availability to the state sector,\textsuperscript{[22]} analysis from such a PPP dialysis unit at Pietersburg Hospital reported higher costs for haemodialysis and peritoneal dialysis than those reported by contemporaneous estimations for SA as a whole, in part due to the application of additional outsourcing fees.\textsuperscript{[23]}

As a result of the pernicious constraints on availability, access to dialysis has been rationed since the inception of this therapy in SA.\textsuperscript{[10]} Available data indicate that the majority (52.7 - 53.9\%) of patients with ESRF presenting to state facilities are not offered LTRRT.\textsuperscript{[24,25]} Local policy and jurisprudence provide for such exclusion on medical criteria, specifically fitness for renal transplantation.\textsuperscript{[26,27]} Whether immigration status is an exclusion criterion has hitherto been less clear and is considered in further detail below.

**Access to dialysis for non-nationals: SA policy**

Under the Bill of Rights, all persons living in SA have the right to access to healthcare services, and refusal of emergency medical treatment is expressly prohibited.\textsuperscript{[28]} The right to have access to therapy is best interpreted as the right to be considered for a treatment and does not equate to the right to receive intervention. Indeed, the Constitution implicitly recognises that universal and comprehensive healthcare has yet to be realised.\textsuperscript{[29]} Reflecting this, the Constitutional Court ruled in *Soobramoney v Minister of Health* that, in consideration of resource constraints, the right to receive dialysis can be limited by transplant eligibility.\textsuperscript{[30]} Government policy acknowledges that LTRRT is not freely available to all and urges clinicians to apply transplant eligibility criteria in the selection of patients for dialysis in the state sector with the aim of achieving equitable access to chronic dialysis for ‘all South African citizens and permanent residents’ (own emphasises).\textsuperscript{[31]} Although not explicitly stated, this can be construed as excluding non-nationals from LTRRT and is consistent with the National Health Act,\textsuperscript{[32]} which prohibits the allocation of deceased donor organs to patients who are not SA citizens or permanent residents, without written consent from the Minister of Health.

**Access to dialysis for non-nationals: International policy**

Restiction of the provision of LTRRT to non-nationals is not unique to SA and should be contextualised against policies adopted by other countries and regulatory protocols to which SA is a signatory.

United Nations (UN) conventions provide a framework for the formulation of health policy by individual state signatories. The International Covenant on Economic, Social and Cultural Rights (ICESCR) obliges state signatories to recognise the right of every individual to the enjoyment of the highest attainable standard of health and to take steps towards the creation of conditions that allow for the provision of medical services to all without discrimination.\textsuperscript{[33]}

While UN conventions guarantee emergency medical treatment, regardless of immigration status,\textsuperscript{[34]} commentary provided by the Office of the High Commissioner for Human Rights (OHCHR) has clarified that practical achievement of this right may be limited by the availability of a particular intervention in individual states.\textsuperscript{[35]}

Although the laudable aim of these treaties is to ensure provision of healthcare to social and economic migrants, they may be criticised for providing an opportunity for medical tourism (immigration for the purpose of accessing treatment), which may result in the diversion of resources from citizens to non-nationals, leading to a loss of self-sufficiency by signatory states. The Declaration of Istanbul (DOI), which provides an ethical guide for transplantation, requires healthcare professionals of all signatory states to prevent medical tourism and to strive for self-sufficiency in this field (Table 1).\textsuperscript{[36]}

On the eve of the DOI, a WHO report identified India, Pakistan and China as significant sources of exported organs. Although a less substantial contributor to transplant tourism, the Philippines was noted to be moving towards the development of legislation to permit the sale of organs to foreigners.\textsuperscript{[37]} Transplantation of non-nationals is not prohibited by current Chinese regulations\textsuperscript{[38]} the use of organs allegedly procured from executed prisoners, and the reported existence of brokers to facilitate the prioritisation of foreign recipients due to their ability to pay, have been reported.\textsuperscript{[39-41]} In contrast, in response to the WHO report and DOI, Indian policies were formulated that permit foreigners to receive transplants only from related living donors,\textsuperscript{[42]} and Pakistan promulgated legislation that specifically excludes non-nationals from engraftment.\textsuperscript{[43]} The Philippines enacted laws that not only banned the sale of transplant organs, but also effectively prohibited the transplantation of foreigners.\textsuperscript{[44,45]} The effect of the DOI has therefore been the formulation of country-specific regulations, which seek to maintain self-sufficiency in LTRRT. In general, nations with greater transplantation resources may permit limited access to LTRRT for non-nationals, while those with fewer resources tend to exclude such patients from their programmes (Table 2).\textsuperscript{[14,17,35,26,29-35]}

**Discussion**

SA is unique on the continent in having a state-funded nationwide chronic dialysis and transplantation programme, the capacity of which exceeds that of any other African state.\textsuperscript{[46]}

A shortage of treatment availability in their country of origin and the cost of self-funded dialysis contribute to non-nationals seeking dialysis in local state hospitals. The relative wealth of SA and comparative willingness of medical therapies have been advanced as ethical imperatives to accommodate foreign nationals on this country’s state-funded dialysis programme. However, this apparent resource abundance belies an objective truth: the state lacks sufficient resource capacity to provide LTRRT to all South Africans requiring it.

The effect of this limitation is most significant for the black African population, who are most at risk of ESRF and who are most reliant on the state sector for treatment. SA has in recent years experienced regular bouts of xenophobia. Surveys indicate that xenophobic attitudes in SA are widespread and independent of income group or race, with up to 78\% of the citizenry in favour of the total prohibition of further immigration to the country, and 50% supporting deportation of all non-nationals.\textsuperscript{[47]} Such sentiments have nevertheless been most overtly demonstrated in low-income and informal urban areas, reflecting the nuanced aetiology of this
phenomenon: xenophobic violence reflects displaced frustration on the part of the historically disadvantaged with the slow pace of service delivery by the post-apartheid government, leading to the dream deferred of equal access to SA’s resources, including healthcare.\textsuperscript{36}

Perceptions of competition for resources on the part of the urban black poor are likely to be reinforced by immigration to SA cities.\textsuperscript{36} SA has consistently ranked in the top 10 countries with the highest levels of net immigration from 2000 to 2019.\textsuperscript{34} The total number of immigrants (documented and undocumented) resident in the country has been estimated at 3 million persons.\textsuperscript{40} Although economic opportunity is the main driver of such immigration, the probability of accessing healthcare in SA increases with duration of stay, with 45% of immigrants reporting attendance at public medical facilities.\textsuperscript{40} Medical tourism nevertheless contributes significantly to the use of...
public health facilities by non-nationals: between 2006 and 2012, in excess of 2.6 million immigrants in SA on temporary visitor permits received treatment in the country; 67.4% of these patients originated from three countries – Lesotho, Mozambique and Zimbabwe. The availability of LTRRT in these countries is poor, with a paucity of certified nephrologists and a lack of dialysis units; therapy is only partially subsidised by the governments of Mozambique and Lesotho and not subsidised by the government of Zimbabwe. Just as these states cannot provide LTRRT to citizens of this country, SA's limited LTRRT resources cannot be stretched to accommodate an influx of non-nationals. 

Indeed, constrained local resources have long required that state units ration access to therapy. Local policy and jurisprudence permit dialysis rationing according to transplant eligibility. Rates of transplantation are declining in SA, and the injunction of the DOI to maintain transplant self-sufficiency can be read as supporting the exclusion of non-nationals in this setting. Excluding non-nationals from LTRRT is permitted in resource-restrained settings by the ICESCR and is consistent with policies adopted by other programmes (Table 2). Furthermore, since prolonged survival on dialysis is possible in patients with medical contraindications to transplantation, including non-nationals who do not automatically qualify for enactment on LTRRT programmes, while excluding transplant-ineligible South Africans, may constitute a significant conflict of ethics.

Helen Joseph Hospital has the capacity to provide LTRRT to 156 ESRF patients. Since 2018, the Renal Unit Committee has excluded 183 patients from dialytic support, comprising 70 (38.25%) exclusions on the basis of immigration status, 69 (37.70%) due to medical ineligibility for transplant, and 44 (24.4%) due to residence in another unit's drainage area (authors' data). The enforced provision of dialysis in the present case during the legal process precipitated an acute crisis in dialysis availability in the hospital; during this period 3 SA patients otherwise eligible for support died due to a lack of slots. The ruling by the High Court in the present case sets legal precedent in affirming that the right of non-nationals to receive LTRRT in the state sector may reasonably be rationed in consideration of available resources, and locates the responsibility for such decisions in the hands of medical rather than legal authorities. The ruling is therefore particularly important, as it preserves the ability of individual units to shepherd dialysis resources held in trust on behalf of the local community.

Conclusions

South Africans are at significant risk of developing ESRF, and such patients are likely to depend on the state sector for LTRRT. SA is distinguished in the region by having a state-sponsored LTRRT programme; however, the capacity of this programme is insufficient to meet the demands of the local population. Restricting non-nationals' access to LTRRT in SA is consistent with international protocols and agreements. Local jurisprudence has now affirmed the rationality of this approach and locates the responsibility for rationing access to LTRRT in the hands of appropriate medical specialist bodies who are best placed to know the healthcare needs of the local community. Usurpation of this role by other parties may hamper the appropriate provision of life-saving treatment.

Key points

• LTRRT is a constrained resource in SA.
• Local and international policies permit the rationing of access to LTRRT by non-nationals to ensure a just distribution of resources through the maintenance of state self-sufficiency.
• Recent jurisprudence affirms the authority of appropriate medical bodies in rationing individual patient access to therapy.

Declaration. None.

Acknowledgements. The authors gratefully acknowledge the support of the Division of Nephrology, Helen Joseph Hospital, in the preparation of this manuscript.

Author contributions. MD and ZC contributed equally to this paper.

Funding. None.

Conflicts of interest. None.
38. Tella O. Understanding xenophobia in South Africa: The individual, the state, and the international system. Insight Africa 2016(2):142-158. https://doi.org/10.1177%2F0975087816655014
43. Eresilo v Minister of Health 2019 (19/15448) SA (G).

Accepted 22 April 2021.